

CONTENTS

| | |
|------------------------------|----|
| <i>Preface</i> | ix |
| <i>List of abbreviations</i> | x |

| | |
|---|----|
| I. Understanding on Rules and Procedures Governing the Settlement of Disputes (DSU) | 1 |
| Article 1: Coverage and Application | 1 |
| Article 2: Administration | 2 |
| Article 3: General Provisions | 3 |
| Article 4: Consultations | 5 |
| Article 5: Good Offices, Conciliation and Mediation | 8 |
| Article 6: Establishment of Panels | 8 |
| Article 7: Terms of Reference of Panels | 9 |
| Article 8: Composition of Panels | 9 |
| Article 9: Procedures for Multiple Complainants | 11 |
| Article 10: Third Parties | 12 |
| Article 11: Function of Panels | 13 |
| Article 12: Panel Procedures | 13 |
| Article 13: Right to Seek Information | 15 |
| Article 14: Confidentiality | 16 |
| Article 15: Interim Review Stage | 16 |
| Article 16: Adoption of Panel Reports | 16 |
| Article 17: Appellate Review | 17 |
| Article 18: Communications with the Panel or Appellate Body | 20 |
| Article 19: Panel and Appellate Body Recommendations | 20 |
| Article 20: Time-frame for DSB Decisions | 21 |
| Article 21: Surveillance of Implementation of Recommendations and Rulings | 21 |
| Article 22: Compensation and the Suspension of Concessions | 23 |
| Article 23: Strengthening of the Multilateral System | 27 |
| Article 24: Special Procedures Involving Least-Developed Country Members | 28 |

| | |
|---|-----------|
| Article 25: Arbitration | 28 |
| Article 26: | 29 |
| 1. Non-Violation Complaints of the Type Described in Paragraph 1(b) of Article XXIII of GATT 1994 | 29 |
| 2. Complaints of the Type Described in Paragraph 1(c) of Article XXIII of GATT 1994 | 30 |
| Article 27: Responsibilities of the Secretariat | 31 |
| Appendices | 32 |
| 1. Agreements Covered by the Understanding | 32 |
| 2. Special or Additional Rules and Procedures Contained in the Covered Agreements | 33 |
| 3. Working Procedures | 34 |
| 4. Expert Review Groups | 36 |
| II. Dispute Settlement Rules and Procedures in the Multilateral Trade Agreements | 38 |
| A. The GATT 1994 and Other Covered Agreements | 38 |
| 1. General interpretative note to Annex 1A | 38 |
| 2. The General Agreement on Tariffs and Trade 1994 | 38 |
| 3. Multilateral Agreements on Trade in Goods | 40 |
| i. Agreement on Agriculture | 40 |
| ii. Agreement on the Application of Sanitary and Phytosanitary Measures | 40 |
| iii. Agreement on Technical Barriers to Trade | 40 |
| iv. Agreement on Trade-Related Investment Measures | 42 |
| v. Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade 1994 | 42 |
| vi. Agreement on Implementation of Article VII of the General Agreement on Tariffs and Trade 1994 | 44 |
| vii. Agreement on Preshipment Inspection | 46 |
| viii. Agreement on Rules of Origin | 46 |
| ix. Agreement on Import Licensing Procedures | 46 |
| x. Agreement on Subsidies and Countervailing Measures | 47 |
| xi. Agreement on Safeguards | 54 |
| B. General Agreement on Trade in Services (GATS) | 55 |
| C. Agreement on Trade-Related Aspects of Intellectual Property Rights | 57 |
| III. Dispute Settlement Decisions of the Contracting Parties to the GATT 1947 Referred to in the DSU | 58 |
| A. Decision of 12 April 1989 on Improvements to the GATT Dispute Settlement Rules and Procedures (BISD 36S/61) | 58 |

| | | |
|--------------|---|------------|
| | B. Decision of 5 April 1966 on procedures under Article XXIII (BISD 14S/18) | 59 |
| IV. | Dispute Settlement Rules and Procedures in the Plurilateral Trade Agreements | 62 |
| | A. Provisions Regarding the Agreement on Government Procurement | 62 |
| | 1. Agreement on Government Procurement | 62 |
| | 2. Notification Under Appendix 1 of the Dispute Settlement Understanding | 64 |
| | B. Agreement On Trade In Civil Aircraft | 64 |
| V. | Rules of Procedure for Meetings of the Dispute Settlement Body | 66 |
| | A. Rules of Procedure for Meetings of the Dispute Settlement Body | 66 |
| | B. Rules of Procedure for Sessions of the Ministerial Conference and Meetings of the General Council | 67 |
| VI. | Rules of Conduct for the Understanding on the Rules and Procedures Governing the Settlement of Disputes | 77 |
| VII. | Rules and Procedures Relating to Appellate Review | 87 |
| | A. <i>Working Procedures for Appellate Review</i> | 87 |
| | Annex I: Timetable for Appeals | 104 |
| | Annex II: Rules of Conduct for the Understanding on Rules and Procedures Governing the Settlement of Disputes | 105 |
| | Annex III: Table of Consolidated and Revised Versions of the <i>Working Procedures for Appellate Review</i> | 105 |
| | B. Additional Procedures for Consultations between the Chairperson of the DSB and WTO Members in relation to Amendments to the <i>Working Procedures for Appellate Review</i> | 106 |
| | C. Establishment of the Appellate Body | 106 |
| VIII. | DSU Practices | 112 |
| | A. Working practices concerning dispute settlement procedures “Date of circulation” in the DSU and its additional and special rules | 112 |
| | Communications under the DSU | 112 |
| | Time-periods under the DSU and other covered agreements | 113 |
| | Notifications of requests for consultations | 114 |
| | B. Article 4.11 of the DSU – Replies to requests to be joined in consultations | 114 |

| | | |
|------------|--|------------|
| C. | Statement by the Chair of the GATT Council concerning time-periods for third-party notifications | 114 |
| IX. | Other Decisions | 115 |
| A. | Declaration on Dispute Settlement Pursuant to the Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade 1994 or Part V of the Agreement on Subsidies and Countervailing Measures | 115 |
| B. | Procedures for the Circulation and Derestriction of WTO Documents | 115 |
| C. | Procedures for Arbitration under Article 8.5 of the Agreement on Subsidies and Countervailing Measures | 121 |
| D. | Decision on Certain Dispute Settlement Procedures for the General Agreement on Trade in Services | 129 |
| | <i>Index</i> | 131 |

PREFACE

This volume contains a collection of the legal texts related to the settlement of disputes under the Agreement Establishing the World Trade Organization (WTO). To facilitate their use, the texts have been grouped by subject matter, and cross-references and a subject index have been added by the WTO Secretariat. These additions do not form part of the legal texts and therefore should not be used as sources of interpretation.

A guide to the WTO Agreements as clarified by the WTO Appellate Body, WTO dispute settlement panels and other WTO bodies is available in the *Analytical Index: Guide to WTO Law and Practice*, ISBN 978-1-107-02525-7 (an updated third edition was published in 2012). A compilation of Appellate Body jurisprudence is available in *WTO Appellate Body Repertory of Reports and Awards, 1995–2010*, Fourth Edition, ISBN 978-1-107-00820-5.

Furthermore, a repertoire of the dispute settlement practice under the GATT 1947 can be found in the *Guide to GATT Law and Practice*, Updated Sixth Edition published by the WTO Secretariat, ISBN 92-870-1143-5.